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| | | |
|--|-------------------------------|--------------|
| SUBSTITUTE DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted With Initial Filing <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) | Attorney Docket Number | 20167000120 |
| | First Named Inventor | ZHONG-RU GAN |
| | COMPLETE IF KNOWN | |
| | Application Number | 09/423,100 |
| | Filing Date | 10/29/99 |
| | Group Art Unit | NOT ASSIGNED |
| | Examiner Name | NOT ASSIGNED |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**CHIMERIC PROTEIN CONTAINING AN INTRAMOLECULAR CHAPERONE-LIKE
SEQUENCE AND ITS APPLICATION TO INSULIN PRODUCTION**

the specification of which

(Title of the Invention)

☐ is attached hereto

OR

☒ was filed on

10/29/99

as United States Application Number or PCT International

(MM/DD/YYYY)

Application Number

09/423,100

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) Country | Priority Not Claimed | Certified Copy Attached? | |
|--|---------|---|--------------------------|--------------------------|-------------------------------------|
| | | | | YES | NO |
| CN98/00052 | PCT | 03/13/98 PCT | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto. |
|-----------------------|--------------------------|---|
| | | |

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PATENT (10-001)

Approved for use through 10/31/2002. OMB 0551-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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DECLARATION — Utility or Design Patent Application

| | | | | | |
|---|--|---|-------|------------------------|---|
| Direct all correspondence to: | | <input checked="" type="checkbox"/> Customer Number or Buy Label | 20350 | OR | <input type="checkbox"/> Correspondence address below |
| Name | | | | | |
| Address | | | | | |
| Address | | | | | |
| City | | State | | ZIP | |
| Country | | Telephone | | Fax | |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the lies so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. | | | | | |
| NAME OF SOLE OR FIRST INVENTOR: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name | | ZHONG-RU | | Family Name or Surname | |
| Inventor's Signature | | Zhongru Gan | | Date | |
| Residence: City | | State | | Country | |
| Tonghua City | | P.R. China | | Citizenship | |
| Mailing Address | | Tonghua Dong Bao | | | |
| Mailing Address | | | | | |
| City | | State | | ZIP | |
| Tonghua City | | Jilin Province | | 134123 | |
| Country | | P.R. China | | | |
| NAME OF SECOND INVENTOR: | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | |
| Given Name | | Family Name or Surname | | | |
| Inventor's Signature | | Date | | | |
| Residence: City | | State | | Country | |
| Citizenship | | | | | |
| Mailing Address | | | | | |
| Mailing Address | | | | | |
| City | | State | | ZIP | |
| Country | | | | | |

☐ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/172A attached hereto.

[Page 2 of 2]

SF 1201594 v1

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Zhong-Ru Gan of, *home address*, hereinafter referred to as "Assignor," is the inventor of the invention described and set forth in the below identified application for United States Letters Patent:

Title of the Invention:

CHIMERIC PROTEIN CONTAINING AN INTRAMOLECULAR CHAPERONE-LIKE SEQUENCE AND ITS APPLICATION TO INSULIN PRODUCTION

Date(s) of execution of Declaration: _____

Filing date: October 29, 1999

Application No.: 09/423,100; and

WHEREAS, Tonghua GanTech Biotechnology Ltd., located at Dong Bao Village, Tonghua City, Jilin Province, P.R. China, hereinafter referred to as ASSIGNEE, is desirous of acquiring ASSIGNORS' interest in the said invention and application and in any U.S. Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Assignees, and Assignees' successors and assigns, all their right title and interest in and to the said invention and application, and in and to any Letters Patent which may hereafter be granted on the same in the United States, the said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignors had this Assignment and transfer not been made, to the full end and term of any Letters Patent which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has signed their name on the date indicated.

Date: Oct. 24, 2000 

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents,
Washington, D.C. 20231

PATENT
Attorney Docket No.: 020167-
000120US

on _____

TOWNSEND and TOWNSEND and CREW LLP

By _____

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Zhong-Ru Gan

Application No.: 09/423,100

Filed: October 29, 1999

For: **CHIMERIC PROTEIN
CONTAINING AN INTRAMOLECULAR
CHAPERONE-LIKE SEQUENCE AND
ITS APPLICATION TO INSULIN
PRODUCTION**

Examiner: Unknown

Art Unit: Unknown

REVOCATION AND SUBSTITUTION
OF POWER OF ATTORNEY UNDER
37 CFR § 1.36

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:


Pursuant to 37 CFR § 1.36, Tonghua GanTech Biotechnology Ltd. revokes all previous powers of attorney and hereby appoints the following to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Customer No. 20350

004/005

[illegible]

Tonghua GanTech Biotechnology Ltd.


Zhong-Ru Gan

SF #1226891 v1

SF 1228903 v1

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Applicant: Zhong-Ru Gan

Application No.: 09/423,100

Filed: October 29, 1999

For: CHIMERIC PROTEIN CONTAINING AN INTRAMOLECULAR CHAPERONE-LIKE SEQUENCE AND ITS APPLICATION TO INSULIN PRODUCTION

Tonghua GanTech Biotechnology Ltd., a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

certifies that it is assignee of the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
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3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: Oct. 24, 2000
Name: Zhong-Ru Gan
Title: Chairman and CEO
Signature: 